



ANZ Securities  
 PO Box 1790, Wellington  
 Ph: 0800 805 777 Fax: 04 498 7064  
 Email: [info@anzsecurities.co.nz](mailto:info@anzsecurities.co.nz)  
 Web: [www.anzsecurities.co.nz](http://www.anzsecurities.co.nz)

Execution & Settlement through:  
 Share Investing Limited  
 ABN 93 078 174 973 AFSL No. 238277  
 Participant of ASX, Chi-X Australia, ASX Clear Pty Ltd and  
 ASX Settlement Pty Ltd

## 1. TYPE OF ACCOUNT

Please tick one only.

Individual  Joint  Company  Trust  Partnership

## 2. CHESS REGISTERED ACCOUNT NAME

The CHESS registered account name will be the name of your account and also the name in which your securities will be bought and sold. If you are transferring securities, the registered account name must be EXACTLY the same as the registered account name. Please contact ANZ Securities if this is not the case. If you intend to operate the account on behalf of a trust, you must provide the name of the trust in the Account Designation field. For other account types, this is optional.

Account Name
Account designation < A/C>

## 3. INDIVIDUAL ACCOUNT HOLDER(S)/COMPANY CONTACT(S)

Please list the primary contact to receive correspondence first.

Mr  Mrs  Ms  Miss  Other

Gender  M  F Date of birth

Family name

Given name(s) (use full legal names)

Are you a US Resident for tax purposes or a US Citizen?

(individual or joint accounts only)  Yes  No

Position held

Occupation

Mr  Mrs  Ms  Miss  Other

Gender  M  F Date of birth

Family name

Given name(s) (use full legal names)

Are you a US Resident for tax purposes or a US Citizen?

(individual or joint accounts only)  Yes  No

Position held

Occupation

## FOR OFFICE USE ONLY

CHESS accounts provided by Share Investing Limited in conjunction with ANZ New Zealand Securities Limited.

Advisor:
Account number:
Account type:
Brokerage scale:

## 4. RESIDENTIAL ADDRESS OR REGISTERED BUSINESS ADDRESS

Please provide a residential address for individual, joint, partnership or trust accounts. For company accounts please provide the registered address. Please note that if you are transferring securities, your registered address should be EXACTLY the same as your last holding statement.

Address (PO Boxes cannot be used as a Registered Address)
Place of establishment/Registration (country)*
Nature of business*
Postal Address (if different from above)

\*Only applicable for Company/Trust/Partnership

## 5. CONTACT DETAILS

Please provide at least one contact point, and tick your preferred means.

Phone home	<input type="checkbox"/>
Phone work	<input type="checkbox"/>
Mobile	<input type="checkbox"/>
Fax	<input type="checkbox"/>
Email	<input type="checkbox"/>

## 6. RESIDENCY STATUS DECLARATION

By signing this form, I/We declare that I/We am/are non-resident of Australia for income tax purposes, that I/We am/are not carrying on an enterprise within Australia and that I/We am/are domiciled outside of Australia when transactions are conducted with Share Investing Limited.

## 7. CHESS SPONSORSHIP TRANSFER

Please complete this section if you need to transfer a CHESS account from your existing Sponsoring Broker.

I/We am/are currently sponsored on CHESS by another broker or have issuer sponsored securities but would prefer to hold some or all of my/our securities under Share Investing Limited sponsorship. (Please read the CHESS Sponsorship Agreement included in Share Investing Limited's Trading Terms and Conditions and if you agree with the terms and conditions contained therein, complete this section).

# ANZ Securities CHESS Account Application

## Broker Sponsored Holdings

(please attach a copy of your CHESS holding statement)

Name of existing Sponsoring Broker
HIN to be transferred
CHESS Registered Account Name

## Holdings to be transferred

If you complete this section your HIN and all holdings on the HIN will be transferred from your existing Sponsoring Broker to Share Investing Limited. Please note, this may require re-notification of DRP and Tax File Numbers.

If you wish to transfer selected holdings, please complete a CHESS Sponsorship Transfer Form.

## 8. ACKNOWLEDGEMENTS & DECLARATIONS

1. I/We declare that all information provided in this application form is true and correct.
2. I/We acknowledge having received, read and understood the CHESS Sponsorship Agreement, Financial Services Guide and the Trading Terms and Conditions (including ANZ Privacy Policy) for CHESS Accounts.
3. I/We enter into this agreement on the terms and conditions as updated from time to time or included within the account application package should it have been dispatched to me/us by mail. By signing this agreement I/we agree to be bound by these terms and conditions.
4. I/We declare that any credit to be provided to me/us by ANZ New Zealand Securities Limited or by Share Investing Limited is to be applied wholly or predominantly for business or investment purposes.
5. I/We authorise ANZ New Zealand Securities Limited to provide instructions to Share Investing Limited regarding execution and settlement of securities trades on my/our behalf and I/We agree to ratify and be bound by all such instructions and will be liable for any debts, charges and expenses arising from such instruction.
6. I/We acknowledge and agree that ANZ New Zealand Securities Limited and its related companies will have access to all information, including contact details and trading information, concerning my CHESS sponsored holdings with Share Investing Limited.

7. I/We instruct ANZ New Zealand Securities Limited to pay to Share Investing Limited, on my/ our behalf, any amounts owed to Share Investing Limited (including brokerage and amounts required for settlement or any buy orders) in connection with any trades made on my/our account. I/We agree to reimburse ANZ New Zealand Securities Limited for any such amounts.
8. I/We instruct Share Investing Limited to remit any funds owed to me/us in connection with any trades made on my/our account to ANZ New Zealand Securities Limited as my/our agent.
9. I/We acknowledge and agree that ANZ New Zealand Securities Limited is not the agent or representative of Share Investing Limited except for the limited purposes described in the CHESS Sponsorship Agreement included in Share Investing Limited's Trading Terms and Conditions. I/we will not make any claim against Share Investing Limited for acts or omissions of ANZ New Zealand Securities Limited. I/we agree that Share Investing Limited will not be liable for any loss or damage relating to or arising out of any transactions or act or omission of ANZ New Zealand Securities Limited.
10. For Company/Partnership/Trust: I/We certify that the nature of business specified in this form generates at least 50% of Company's/ Partnership/Trust gross income.

## 9. SIGNATORY REQUIREMENTS

Signature
Name
Title (if company)

Date

Signature
Name
Title (if company)

Date

For joint applicants, please indicate signatory requirements for all written instructions (please tick one).

One to sign       Two to sign

The ANZ Securities Trading Service for Australian securities is provided by Share Investing Limited ABN 93 078 174 973 AFS Licence No.238277 in conjunction with ANZ New Zealand Securities Limited. Share Investing Limited is an ASX and Chi-X Australia market participant, a participant of ASX Clear Pty Ltd and a Participant of ASX Settlement Pty Ltd. Execution, settlement and clearing of Australian trades is through Share Investing Limited. Share Investing Limited is a related party of ANZ New Zealand Securities Limited.

## FOR OFFICE USE ONLY

### Dealer Declaration by ANZ New Zealand Securities Limited

ANZ New Zealand Securities Limited has complied with the terms of the Execution and Clearing Agreement.

Authorised by
Signature
Date <input type="text"/> <input type="text"/> <input type="text"/>

### Execution of Sponsorship Agreement by Share Investing Limited

Level 23, 242 Pitt Street Sydney NSW 2000.

Authorised by
Signature
Date <input type="text"/> <input type="text"/> <input type="text"/>
HIN <input type="text"/>