



## ONE-OFF SALE BROKERAGE RATES

To sell New Zealand listed securities (other than debt securities): 1.25% or NZ\$100.00 (whichever is greater).

To sell Australian listed securities: 1.25% or AU\$100.00 (whichever is greater).

These rates are also displayed on the ANZ New Zealand Securities Limited ("ANZ Securities") website under "Our Rates".

### IMPORTANT NOTE

Your shares will not be sold until this form has been verified and you receive a phone call from ANZ Securities.

## SECTION 1. SHAREHOLDING CONTACT DETAILS

If you have done a one-off sale with us previously, this option is no longer available to you and a client account must be opened.

Complete the details below, exactly as they appear on the statement of holding sent to you by the Share Registry.

Registered Shareholder Name

Registered Shareholder Address

Confirmation of your sale must be sent to the Registered Shareholder Address.

Provide name, daytime number and email for the Attorney acting on behalf of the Registered Shareholder, who will be contacted by ANZ Securities to sell the shares.

Name  Number

Email Address

## SECTION 2. LIST OF SHAREHOLDINGS

For security reasons, please do not write your FIN number below – we will ring you to obtain the FIN. If you do not know what your FIN is, please contact the applicable Share Registry (Computershare or Link Market Services). All shareholdings must be sold during a one-off sale; we cannot sell part of your holdings in a company.

| Shares to be sold (Company) | Total quantity | Shareholder Number (NZX or ASX) |
|-----------------------------|----------------|---------------------------------|
| e.g. Air NZ                 | e.g. 1,000     | e.g. 13788894                   |
|                             |                |                                 |
|                             |                |                                 |

## SECTION 3. BANK ACCOUNT DETAILS

Please provide the details of your bank account below. The bank account must be a New Zealand domestic bank account in the name of the Registered Shareholder.

Bank  Compulsory  Branch

Bank Account Name  Compulsory  Bank Account Number  Compulsory

We cannot pay sale proceeds to the bank account of a Third Party (eg. Company or Family Trust).

## SECTION 4. ATTACH IDENTIFICATION

Please refer to Section 7 for a list of acceptable forms of identification and address verification.

- The details on your shareholdings must match the details on your passport/driver's licence and bank account details.
- Please supply a certified, clear copy of your marriage certificate if your maiden name is used on your shareholding details or identification documents.
- Settlement proceeds are only paid out to the Registered Shareholder in either NZ\$ or AU\$.

## Power of Attorney One-Off Sale Form (cont)

### SECTION 5. SEND TO ANZ SECURITIES

Please return this form and the required identification (as per Section 4) to ANZ Securities. All documentation must be returned by post; we cannot accept faxed or emailed copies because we are required to hold your original signature on file.

**POST:** ANZ Securities, PO Box 1790, Wellington 6140

### SECTION 6. SELLING YOUR SHARES

All documentation must be verified by ANZ Securities in accordance with NZX and ASX compliance obligations before an order can be submitted.

**Note: this can be a lengthy process and you should make allowances for a delay before your shares are sold.**

If your documentation is correct you will be contacted by ANZ Securities on the phone number you have provided above and your shares will be sold at the best available market price during this phone call.

Please ensure you are able to provide the FIN number(s) for the NZX Shareholder Number(s) when you are contacted by ANZ Securities. When the sale has been completed a contract note will be delivered to the Registered Shareholder Address to confirm the details of the sale. Two business days after the sale has taken place, funds will be direct credited to the bank account that you provide.

### SECTION 7. SIGNATURE OF ATTORNEY ACTING ON BEHALF OF THE REGISTERED SHAREHOLDER

By signing below you agree that all one-off sales are conducted in accordance with NZX Rules and ANZ Securities' Client Agreement Terms & Conditions and that you have not previously conducted a one-off sale with ANZ Securities.

Signature

Date:   2 0

#### ACCEPTABLE FORMS OF IDENTIFICATION AND ADDRESS VERIFICATION

**Power of Attorney Documentation – The Attorney must provide:**

- A clear, certified copy of the Power of Attorney, and
- A signed "Certificate of Non-Revocation of Power of Attorney" (see the following page)

**Photo Identification – The Attorney must provide an original or a certified copy of one of the following in their name and in the name of the Registered Shareholder: (Photo ID must be current – we cannot accept expired photo ID)**

- Passport;
- New Zealand Firearms Licence;
- New Zealand Driver's Licence **AND** bank-generated statement; or
- Another combination of identification as agreed to by ANZ Securities.

**Bank Account – The Attorney must provide an original or a certified copy of one of the following in the name of the Registered Shareholder:**

- Bank statement (as delivered by mail, not via internet banking);
- A bank-generated deposit slip; or
- A cheque.

**Residential Address – The Attorney must provide an original or a certified copy of one of the following in their name and in the name of the Registered Shareholder:**

- Bank statement (as delivered by mail, not via internet banking);
- Utility or Rates Bill;
- IRD tax notice/certificate;
- Insurance policy document; or
- Another combination of identification as agreed to by ANZ Securities.

#### COMPULSORY CERTIFICATION OF IDENTIFICATION

- Certified documents must be signed and include the name of the certifier, their occupation and the date.
- The certifier must state on each item, "I certify this to be a true and accurate copy of the original document."
- The certifier must be at least 16 years of age and cannot be related to the person, the spouse or partner of the person or living at the same address as the person.
- The document being certified and the signature of the certifier must not be dated more than three months before the date this application form was signed.

**Acceptable verifiers are:**

- ANZ employees (where you attend an ANZ Bank New Zealand Limited branch in person with the original documentation).

**Acceptable certifiers are:**

- Justice of the Peace;
- Lawyer (must be from a Financial Action Task Force (FATF) member country (including NZ));
- Chartered Accountant (must be member of CAANZ);
- A sworn employee of the NZ Police who holds the office of constable (any rank);
- Notary Public;
- New Zealand Honorary Consul;
- Member of Parliament; or
- Commonwealth Representative; includes Ambassador, High Commissioner, Commissioner, Minister, Counsellor, Charge d'Affaires, Head of Mission, Consular Officer, Pro-Consul, Trade Commissioner of a Commonwealth country.

#### FOR OFFICE USE ONLY

One off account number

Trade number

Settlement currency

Settlement date



# Non-Revocation of Power of Attorney Certificate

Shareholder name

Power of Attorney Holder name

## 1. CERTIFICATE OF NON-RECOVATION OF POWER OF ATTORNEY

I, Full Name of Power of Attorney Holder

of Town/City

### Hereby Certify

1. THAT I am the Attorney of Full Name of Registered Shareholder

under and by virtue of a Power of Attorney dated the   2 0  given to me by him/her/they.

2. THAT I have executed the transfer of shares hereby as Attorney under the said Power of Attorney and pursuant to the powers thereby conferred upon me.

3. THAT I have not received any notice or information of the revocation of said Power of Attorney by death or otherwise and I verily believe that same to be in full force and effect.

Signed at Town/City

dated   2 0

Signature of Power of Attorney Holder

## 2. POWER OF ATTORNEY DETAILS

Please tick one:  Mr  Mrs  Miss  Ms  Other

|                     |              |
|---------------------|--------------|
| First Name          |              |
| Middle Name(s)      |              |
| Surname             |              |
| Date of birth       | COMPULSORY   |
| Country of birth    | COMPULSORY   |
| Residential address | COMPULSORY   |
| Town/City           | Post code    |
| Postal address      |              |
| Town/City           | Post code    |
| Phone (business)    | Phone (home) |
| Mobile              | Fax          |
| Email               |              |

Provide a clear and certified copy of a passport in the name of the Power of Attorney Holder.

Is the Power of Attorney Holder a US resident for tax purposes?

No  Yes – see below for guidance

Is the Power of Attorney Holder a US citizen?

No  Yes – see below for guidance

If the Power of Attorney Holder is a US resident for tax purposes or a US citizen but you, as the Account Holder/Shareholder, are not a US resident for tax purposes or a US citizen, a W-8 BEN must be completed in your name and a W-9 must be completed in the name of the Power of Attorney Holder.

If the Power of Attorney Holder is a US resident for tax purposes or a US citizen and you, as the Account Holder/Shareholder, are also a US resident for tax purposes or a US citizen, a W-9 must be completed in your name and a W-9 must be completed in the name of the Power of Attorney Holder.

Refer to the "Download Forms" page on the ANZ Securities website to obtain a W-8-BEN or W-9 form.

## 2. WHAT'S NEXT?

Please attach a clear copy of the Power of Attorney to this form and return both to ANZ Securities.

POST: ANZ Securities, PO Box 1790, Wellington 6140, New Zealand